



# U.S. Department of Transportation Federal Transit Administration

ALABAMA RURAL TRANSIT ASSISTANCE PROGRAM  
FY 2010 Management Training Workshop for Public Transportation

Agencies

## American Recovery and Reinvestment Act (ARRA)/Next Authorization

November 12, 2009

Andres Ramirez, State Programs Team





# Agenda

- FTA Region IV Overview
- ARRA
  - Purposes
  - Available Funding for Transit/Alabama
  - Key Provisions
  - Operating Assistance
  - Discretionary Programs
  - Alabama Status/Key Dates/Projects
  - Grant Modifications
  - Reporting Requirements
- Next Authorization





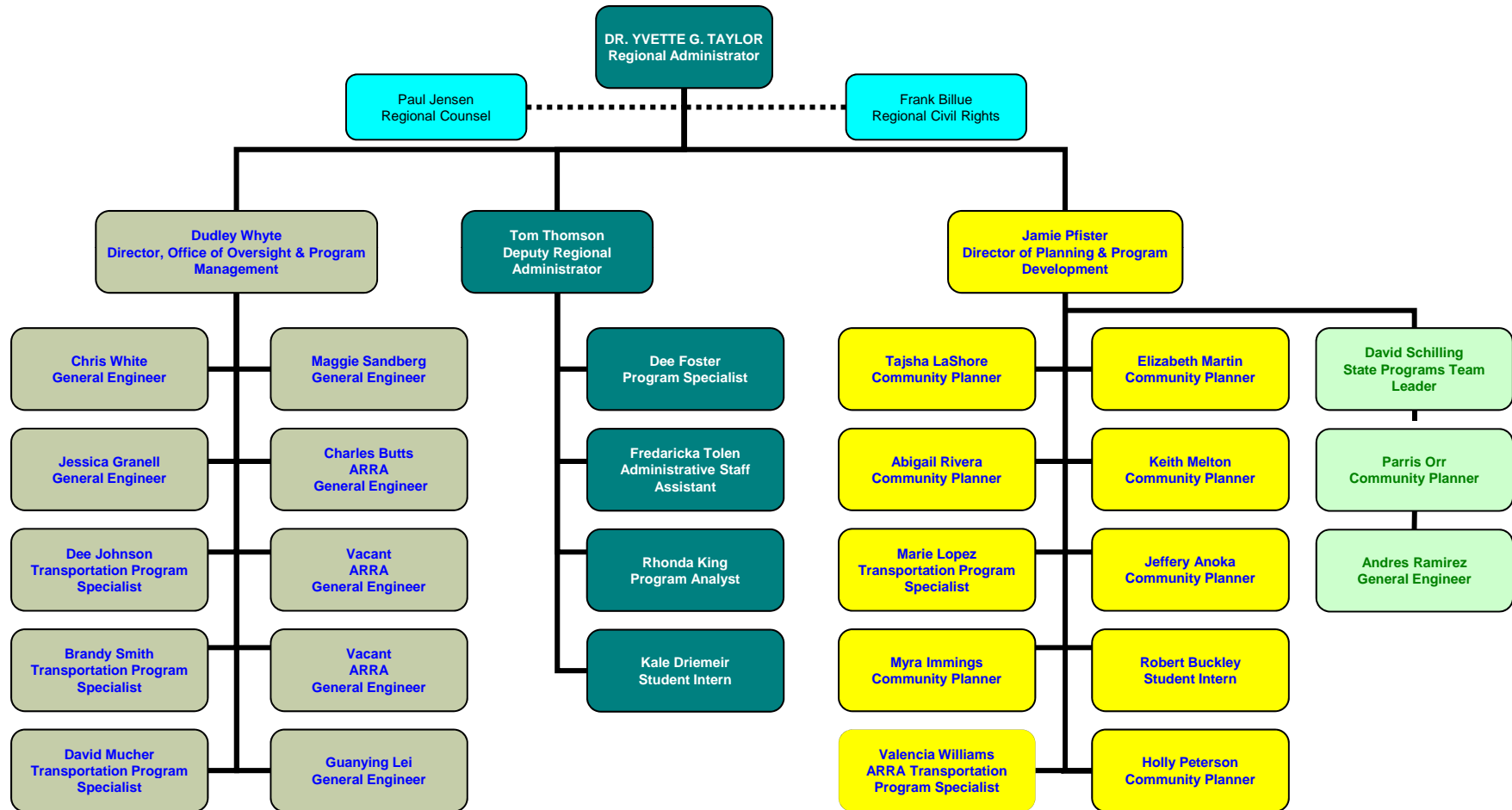
# FTA Region IV Office

- Serve the Southeastern Region – AL, FL, GA, KY, MS, NC, SC, TN, PR, VI
- Serve over 200 Grantees located in these areas
- Located in Atlanta, GA
- Office is comprised of:
  - Regional & Deputy Administrator
  - Legal Officer
  - Civil Rights Officer
  - Community Planners & Transportation Program Specialists
  - General Engineers & Transportation Program Specialists
  - State Programs Team
  - ARRA Support Staff (Term)
  - Support Staff





# FTA Region IV Office





# Region IV Office /Partnership with Alabama

## Alabama FTA Contacts:

- Pre Award - Planning, NEPA, Grant Making
  - Jeffery Anoka, Community Planner, [jeffery.anoka@dot.gov](mailto:jeffery.anoka@dot.gov), 404.865.5631
  
- Post Award - Grant and Project Management, Oversight
  - Chris White, General Engineer, [christopher.white@dot.gov](mailto:christopher.white@dot.gov), 404.865.5619
  
- ALDOT
  - Andres Ramirez, General Engineer, [andres.ramirez@dot.gov](mailto:andres.ramirez@dot.gov), 404-865-5611





# FTA Grant Programs

## Formula Programs

- 5303, 5304 Planning
- 5307 UZA Funds
- 5310 Elderly & Individuals with Disabilities
- 5311 Non-UZA Funds
- 5309 Rail Mod
- 5316 JARC
- 5317 New Freedom

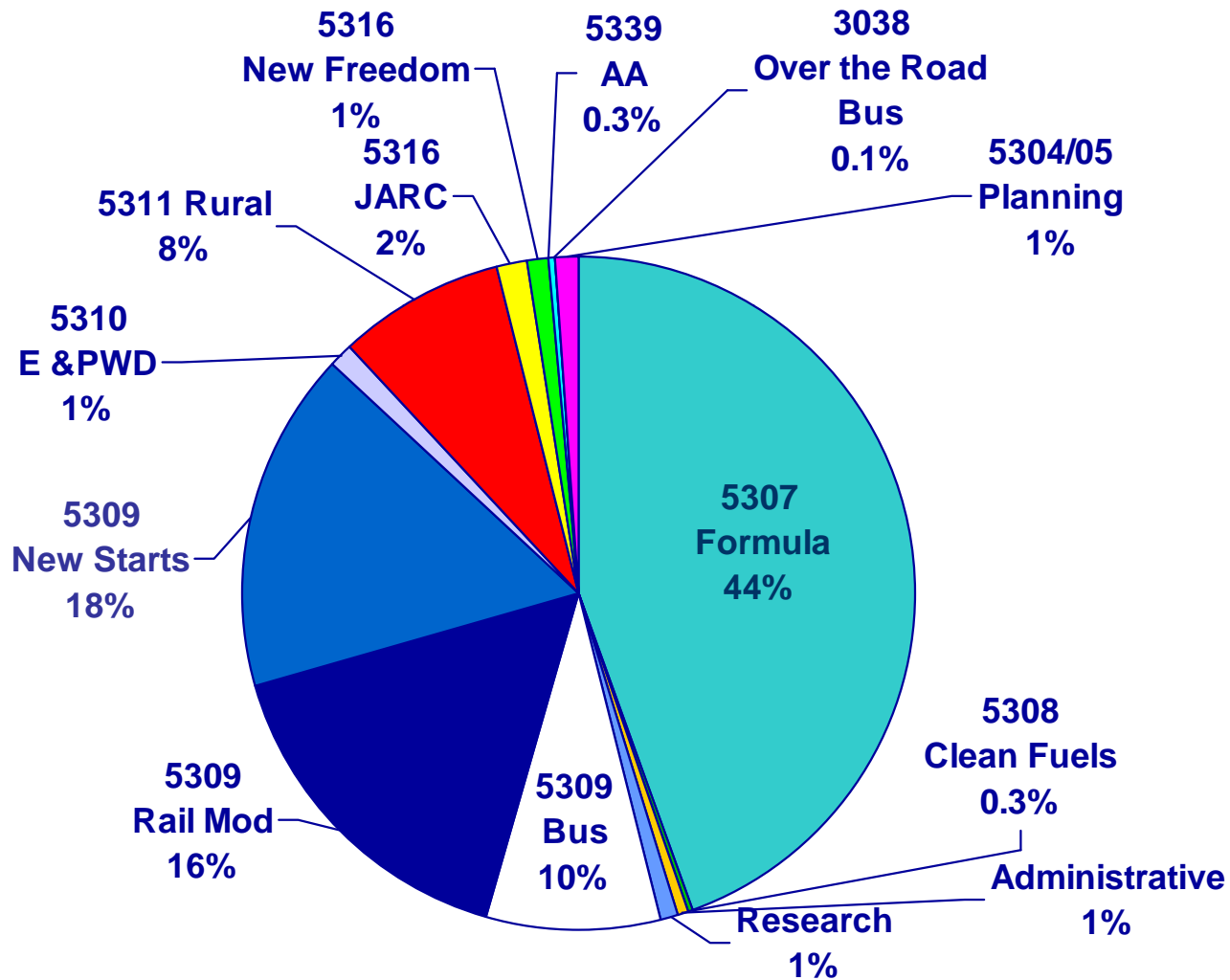
## Discretionary Programs

- 5308 Clean Fuels
- 5309 Bus and Bus Facility
- 5309 New Starts/Small Starts
- 5311 Tribal Transit
- 5314 Research
- 5320 Alternative Transportation in the Parks
- 5339 Alternatives Analysis
- 3038 Over the Road Bus





# FTA Grant Programs





# American Recovery and Reinvestment Act (ARRA)





# ARRA: Purpose

- ARRA designed to stimulate the economy, preserve and create jobs, help state and local faltering economies
  - **Recovery**
    - Maintain and create jobs
    - Infuse cash into cash strapped state and local economies
  - **Reinvest**
    - Build and repair infrastructure
- ARRA fundamentals:
  - Responsibility
  - Accountability
  - Transparency
- FTA must ensure purposes and goals are met





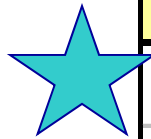
# ARRA: Available Funding For Transit

Program	Amount	Purpose
Urbanized Area Formula (Inc sec 5340)	\$5.97 B	Capital and Operating (10%)*
Non-Urbanized Area Formula (Inc sec 5340)	\$760 M	Capital and Operating (10%)*
Fixed Guideway Modernization Formula	\$742 M	Capital only
New Starts / Small Starts	\$742 M	Discretionary Capital only
Energy Program (TIGGER) [new]	\$100 M	Discretionary Capital only
Tribal Transit	\$17 M	Discretionary Capital only
<b>STP FUNDS MAY BE FLEXED</b>	TBD – Flexed to ARRA Formula Programs	For Ready to Go ARRA Eligible Transit Projects
<b>TOTAL TRANSIT</b>	<b>\$8.4 B</b>	

Note: Amounts are after takedown for Administration and Oversight



# ARRA: Available Funding For Region IV



State	Amount
Alabama	46,459,047
Florida	316,196,713
Georgia	143,561,526
Kentucky	50,295,172
Mississippi	25,466,306
North Carolina	103,304,242
South Carolina	41,154,218
Tennessee	72,016,364
Puerto Rico	68,970,497
Virgin Islands	1,284,112
<b>Total</b>	<b>868,708,197</b>





# ARRA: Available Funding For the Alabama

Alabama ARRA Funding		
	Urbanized	Nonurbanized
<b>Alabama</b>		
<i>&lt;50,000 population</i>		\$ 19,849,776.00
<i>50,000-199,999</i>	\$ 11,112,689.00	
<b>Birmingham</b>	\$ 8,694,931.00	
<b>Huntsville</b>	\$ 2,439,917.00	
<b>Mobile</b>	\$ 4,090,571.00	

**TOTAL: \$46,459,047**





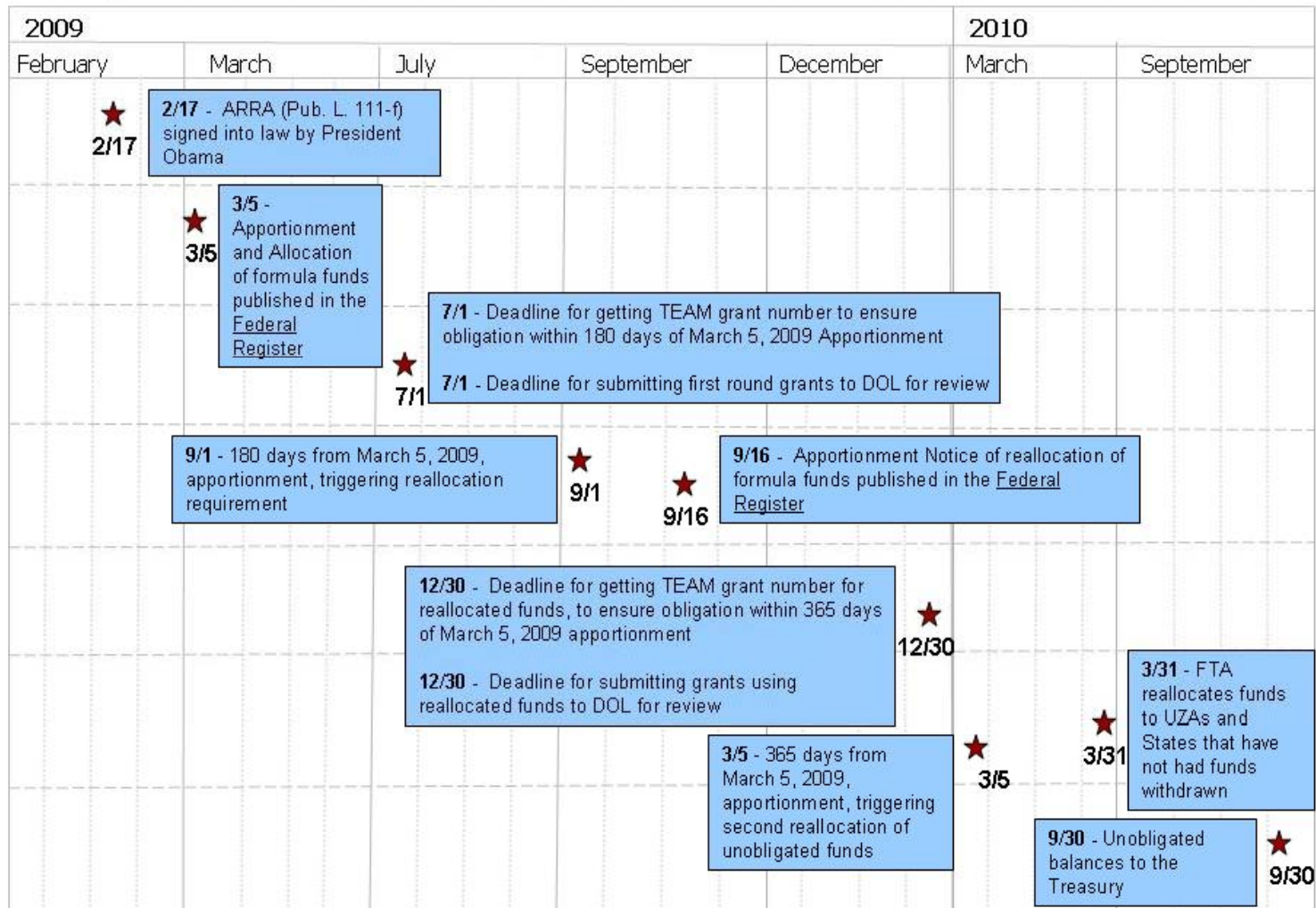
# ARRA: Key Provisions

- No waiver of FTA program requirements (planning/NEPA/Buy America/Labor Protections)
- 100% Federal share, except New Starts
- Capital expenses are eligible; Capital as defined in 49 U.S.C 5302(a)(1)
- Operating Assistance (up to 10% of apportionment) is eligible
- Cannot mix Recovery Funds in same grant with any other funds
- Cannot replace funds currently obligated in an existing grant
- Can use funds to support existing projects, including a multi-phase project
- ARRA STP Funds can be flexed to transit
- Grant obligation deadlines apply





# Major Milestones/Deadlines for ARRA Formula Grants





# ARRA: Formula Program Status

## Nationwide:

- 683 Formula and Discretionary Grants awarded to date for a total of \$7.19 Billion
- 89% of the formula funding available has been obligated to date

	FTA Allocations	Obligations	Pct of Allocation Obligated
Fixed Guideway	742,500,000	\$737,781,730	99%
Non-urbanized	765,847,961	\$594,734,988	78%
Urbanized	5,967,852,039	\$5,355,409,935	90%
	7,476,200,000	\$6,687,926,653	89%

## Region-wide:

- 127 Grants awarded to date for a total of \$ \$740.3 M
- 86% of the formula funding appropriated in Region IV has been obligated to date

	Region IV Allocations	Obligations	Pct of Allocation Obligated
Fixed Guideway	\$13,494,974	\$13,494,974	100%
Non-urbanized	\$175,238,638	\$137,143,128	78%
Urbanized	676,727,056	\$589,669,389	87%
	865,460,668	\$740,307,491	86%





# ARRA: Formula Program Status

- Alabama ARRA Grants and Obligations

ARRA Program	Total Funds Apportioned	Total FTA funds Obligated	% Obligated	Total Unobligated FTA Share
ALDOT - Section 5311	\$ 19,849,776.00	\$ 12,809,229	65%	\$ 7,040,547.00
Governor's Apportionment - ALDOT	\$ 11,112,689.00	\$ 10,087,124	91%	\$ 1,025,565.00
UZA - Birmingham	\$ 8,694,931	\$ 8,694,931	100%	\$ -
UZA - Huntsville	\$ 2,439,917	\$ 2,439,917	100%	\$ -
UZA - Mobile	\$ 4,090,571	\$ 4,090,571	100%	\$ -
<b>Total</b>	<b>\$ 46,187,884.00</b>	<b>\$ 38,121,772</b>	<b>83%</b>	<b>\$ 8,066,112.00</b>





# ARRA: Formula Program Status & Key Dates

- **October 1, 2008:** Pre-award authority for Formula ARRA funds
- **March 5, 2009:** FTA published formula apportionments and program requirements in the Federal Register
- **September 1, 2009:** Deadline to obligate 50% of apportionment  
*\*\* All of FTA met this goal!\*\**
- **November 13, 2009:** **REGION IV Goal of having all applications submitted to DOL for the remaining ARRA Funds!!**
- **December 30, 2009:** Second deadline to submit applications in TEAM/submission to DOL
- **March 5, 2010:** Second deadline to obligate funds
- **September 30, 2010:** Unobligated funds revert to Treasury
- **September 30, 2015:** Last day obligated funds available for drawdown from the U.S. Treasury





# ARRA: Operating Assistance

- Now eligible under ARRA - Up to 10%
- Supplemental Appropriations Act, 2009 (H.R. 2346)
- TIP/STIP Modifications and/or Amendments will be necessary – ARRA Operating
- Local planning process must be followed
- Changes that affect transportation air quality conformity determinations require formal TIP/STIP amendment
- Notify FTA if going to pursue; split letters needed
- Grant Amendments will be necessary; new scope being added; no NEW money unless the full amount was not obligated in the first application
- DOL certification required





# ARRA: Discretionary Programs Status

## FTA Discretionary Programs

- \$100 Million: Transit Investments for Greenhouse Gas & Energy Reduction (TIGGER)
- \$19 Million: Tribal Transit Investments

## USDOT Discretionary Program

- \$1.5 Billion: Multimodal Discretionary Grants - (TIGER )





# ARRA: Discretionary Programs Status – TIGGER

## Transit Investments for Greenhouse Gas & Energy Reduction

- \$100 Million in discretionary grants
- Grants to transit agencies for Capital Projects that either:
  - Reduce energy consumption, of the transit agency, or
  - Reduce greenhouse gas emissions of the transit agency, or
  - Reduce both energy consumption and emissions
- 100% Federal Share unless an applicant requests a lower share.
- Applications were due May 22; over 200 Applications requesting over \$1 Billion were received





# ARRA: Discretionary Programs Status – TIGGER

- On September 21, 2009, 43 Transit were awarded the \$100M across the country.
- In Alabama:
  - *Montgomery: Montgomery Area Transit System, \$2,675,000. Purchase of Five Hybrid Electric Buses: City of Montgomery-MATS proposes to purchase 30-35 foot low floor hybrid-electric buses in order to replace existing transit system buses*





# ARRA: Discretionary Programs Status – Multimodal (TIGER)

## Transportation Investments Generating Economic Recovery

- \$1.5 billion competitive grant program
- Projects with significant impact on Nation, metro area or Region
- Grant awards: \$20 m to \$300 m
- Up to 100% Federal share
- Applications were due September 15, 2009; thousands expected. Evaluations begin September 22, 2009.
- Eligible Projects include: Highway, Bridge, Public Transportation, Port Infrastructure, Passenger and Freight Rail





# ARRA: Discretionary Programs Status – Multimodal (TIGER)

## Considerations

- Equitable geographic distribution of funds (no more than 20% to an individual State)
- To balance the needs of urban and rural communities
- Priority Given to Projects
  - That need Federal funds to complete financing package - “gap funding”
  - That will be completed by February 17, 2012





# ARRA: Projects in Alabama

ARRA Recipient	Projects
ALDOT - Section 5311	The Purchase of 127 Replacement vehicles (\$6,255,358), 29 Expansion vehicles (\$1,521,849), Bus Stations/Stops/Terminals (\$217,534), Bus Support Equipment and Facilities (\$1,521,849), Signal & Communications Equipment (\$152,240), Other Capital Items (\$180,771) and State Administration (\$2,977,466).
Small UZA - ALDOT	The purchase of 33 replacement vehicles (< 30' buses and vans) and 6 expansion vehicles (\$2,439,834), bus shelters (\$25,000), equipment (\$988,634), signal and communications (\$4,900), and additional capital program items (\$575,162), which include preventive maintenance and mobility management activities.
Small UZA - Gadsden	a) The purchase of four (4) less than thirty foot (30-foot) passenger vehicles for use on the City of Gadsden's fixed route system. b) Acquisition of equipment and services to enhance transit operations and management. c) Construction of two (2) covered waiting/transfer facilities.
Small UZA - Montgomery	The replacement of 3 transit buses that are near their useful life and 1 bus for expansion purposes, intelligent transportation systems (ITS) bus improvements, security and surveillance equipment upgrades, electronic fareboxes and related equipment, shop equipment, preventative maintenance, and facility improvements and modifications.
Small UZA - Tuscaloosa	The purchase approximately four (4) 30 foot buses and two (2) Demand Response 12 passenger Vans
UZA - Birmingham	The purchase approximately (5) 40 Foot buses and (12) 35 Foot buses and renovating the BJCTA maintenance facility
UZA - Huntsville	The purchase of rolling stock (3 <30ft buses; 2 trolleys; 3 vans), fleet maintenance service truck, acquisition and installation of a large number of passenger shelters (50), bus stop signs (350), and video surveillance systems.
UZA - Mobile	The purchase of 10 replacement vehicles, 2 vans for expansion, bus shelters, and radio purchases.





# ARRA Grant Modifications

## AMENDMENTS:

- During Phase II recipients are allowed to submit applications for only two types of amendments:
  1. Zero Dollar amendment to utilize the eligible 10% for operating assistance.
  2. Amendment to add remainder of recipient's original apportionment.
- All additions to the ARRA grant should be included in the TIP/STIP. Grantees should follow the guidelines of their local metropolitan planning organization (MPO) to determine whether the change in project scope would necessitate a formal amendment or an administrative modification.





# ARRA Grant Modifications

## BUDGET REVISIONS:

- Budget Revisions will be allowed on a case-by-case basis
- FTA will be issuing additional guidance about what is and isn't permissible.





# ARRA Phase II

## ARRA PHASE II

- Requires 100% of the ARRA funds to be obligated by March 5, 2010.
- Activities Include:
  - New grant applications
  - Completion of draft applications in TEAM
  - Amendment to an existing ARRA grant





# ARRA Phase II

- **ARRA PHASE II GUIDANCE**

- The following link provides specific guidance for UZAs.

[http://www.fta.dot.gov/regions/region4/regional\\_offices\\_9284.html](http://www.fta.dot.gov/regions/region4/regional_offices_9284.html)

- The following link provides specific guidance for State DOTs.

[http://www.fta.dot.gov/regions/region4/regional\\_offices\\_8009.html](http://www.fta.dot.gov/regions/region4/regional_offices_8009.html)





# ARRA Phase II

## IMPORTANT DATES

**November 13, 2009:** all applications submitted to DOL for the remaining ARRA Funds!! (Regional Goal)

**December 30, 2009:** Second deadline to submit applications in TEAM/submission to DOL. (Agency Goal)

**March 5, 2010:** Statutory deadline to obligate formula funds.\*

**March 31, 2010:** Statutory deadline for obligating TIGGER funds.

**September 30, 2010:** Unobligated funds revert to Treasury.

**September 30, 2015:** Last day for drawdown of ARRA funds from the U.S. Treasury.





# ARRA Oversight

## ARRA Reporting and Oversight

- *FSR/MPRs*: Financial Status Report (FSR) and Milestone Progress Report (MPR) for ARRA grants are due 10 days after the end of each quarter. The next due date for FSR/MPRs is January 10, 2009.
- *1201 – Maintenance of Effort*- We received 100% participation for the August submittal, thank you. Subsequent 1201 (c) reports are due February 17, 2010, February 17 2011, and February 17, 2012.
- *1512 Report*: The first quarterly report was due October 10, 2009. We received approximately 95% submittal. Subsequent reports will be required each quarter. [www.federalreporting.gov](http://www.federalreporting.gov)





# ARRA Reporting

## 1512 Reporting Process Timeline

- **Days 1-10:** Grantee submits report.
- **Days 11-21:** Grantee can review and make changes openly.
- **Days 22-29:**
  - Reports will close to grantees.
  - FTA reviews and data validation begin.
  - If there are any questions the report will be flagged with an “Open Comment”.
  - The system sends the grantee an automatic email to alert them that there is comment, and opens the report for further edits.
  - The grantee must RESUBMIT the report in order to clear the “Open Comments” status and take the report off of the “Open Comments” list, regardless of whether there were any edits needed.
- **Day 30:** Final Reports are published.





# ARRA Reporting

## 1512 FREQUENT PROBLEMS

- DUNS number and corresponding CCR registration was not up to date.
- Use the "OMB Data Dictionary with Added FTA Comments" available at [www.fta.dot.gov](http://www.fta.dot.gov).
- Expenditures reported are cumulative accrued expenses (invoices received but may or may not have been drawn yet).
- Jobs reported must be labor hours spent cumulative through the end of the quarter, and only hours that will be paid for with ARRA dollars.





# ARRA: Points of Contact

ARRA Contacts		
Grant Amendment Questions	Robert Buckley	Robert.buckley@dot.gov or 404-865-5618
	Valencia Williams	Valencia.williams@dot.gov or 404-865-5634
ARRA Review Requests	Marie Lopez	Marie.lopez@dot.gov or 404-865-5616
ARRA Reporting and Oversight	Maggie Sandberg or Andres Ramirez (DOT)	Maggie.sandberg@dot.gov or 404-865-5612
	Andres Ramirez (DOT)	Andres.ramirez@dot.gov or 404-865-5611





# Next Authorization

- **Currently in continuing resolution expiring on Dec/18/2009**
- **The Administration and the Senate currently support an 18 month extension.**
- **FTA is working closely with the Office of the Secretary to move forward on principles, themes, and program structure – but schedule is still to be determined.**





# Next Authorization

The Secretary has the following priorities for the next authorization bill:

- Invest in America's Economy
  - Put people to work and reinvigorate the economy
  - Maintain and improve critical infrastructure
  - Make sure people have access to jobs and businesses
- Improve Surface Transportation Safety
  - Safety will continue to be the Department's highest priority.
  - Focus on transit, highway, and pedestrian safety





# Next Authorization

- The Secretary has the following priorities for the next authorization bill (cont.):
- Create More Livable and Sustainable Communities
  - Signature initiative of Secretary;
  - It's about getting away from car-based transportation solutions
    - to help people have access to life; and to help the environment
  - Establish the Sustainable Communities Partnership (also called the Livability Initiative). This is a partnership between Housing and Urban Development, Environmental Protection Agency, and the Department of Transportation. The goal is to provide transportation choices that promote place-based transportation policies that are centered on people.





# Next Authorization

The Secretary has the following priorities for the next authorization bill (cont.):

- Enhance Accountability, Transparency, and Performance
  - Key tenets of the Obama Administration are Accountability, Transparency, and Performance in Federal programs. Congress demands it, the public demands it, and it is the right thing to do.
  - Tough balancing act – we want to make things easier, but we need to demonstrate more accountability to the public.
- Foster Innovative Programs and Projects
  - We don't want to do things the same way
  - The Secretary wants to keep DOT innovating in our program and project delivery; as well as support research efforts (both technology and policy) to improve the nation's transportation systems.





# Questions?





# Contact Information

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